# Technical Assessment Report: Site Details Sainsbury's



| Supplier Name:<br>Supplier Code:           |                               | S LIMITED  |                     |                                   |  |  |  |  |  |  |  |  |  |  |
|--|-------------------------------|--|---------------------|-----------------------------------|--|--|--|--|--|--|--|--|--|--|
| Supplier Code:                             | V00004007                     | SANDBOX INDUSTRIES LIMITED   |                     |                                   |  |  |  |  |  |  |  |  |  |  |
|  | 700004927                     |  |                     |                                   |  |  |  |  |  |  |  |  |  |  |
| Relationship between supplier<br>and Site: | Supplier personne             | supplier personnel visit site occasionally   |                     |                                   |  |  |  |  |  |  |  |  |  |  |
| Site Name:                                 | GUANGZHOU YIFENG              | CUANGZHOU YIFENG COLOUR PRINTING & PACKAGE CO., LTD. /QA ID: V00004928   |                     |                                   |  |  |  |  |  |  |  |  |  |  |
| Site Code:                                 | SAN1                          |  |                     |                                   |  |  |  |  |  |  |  |  |  |  |
| Site Address:                              | NO. 2 INDUSTRIAL D            | NO. 2 INDUSTRIAL DISTRICT, HUASHAN TOWN, HUADU DISTRICT, GUANGZHOU CITY, GUANGDONG PROVINCE, CHINA.              |                     |                                   |  |  |  |  |  |  |  |  |  |  |
| Country:                                   | China                         | hina   |                     |                                   |  |  |  |  |  |  |  |  |  |  |
| Telephone No:                              | 86-20-86957188                | 6-20-86957188  |                     |                                   |  |  |  |  |  |  |  |  |  |  |
| Site Description:                          | Rented Site                   |  |                     |                                   |  |  |  |  |  |  |  |  |  |  |
| Production techniques:                     | Conversion of raw mixing etc. | Conversion of raw materials to finished product using techniques such as moulding, cutting, shaping, mixing etc. |                     |                                   |  |  |  |  |  |  |  |  |  |  |
| Outsourced production process              | No                            |  |                     |                                   |  |  |  |  |  |  |  |  |  |  |
| In house Laboratory:                       | No                            |  |                     |                                   |  |  |  |  |  |  |  |  |  |  |
| Site Size (sq. ft.):                       | 45208                         |  |                     |                                   |  |  |  |  |  |  |  |  |  |  |
|  | Account Manager:              | Mr. Shen Zhouxiong   | Email:              | sales@gzyifeng.com                |  |  |  |  |  |  |  |  |  |  |
| Principle Contacts :                       | Production:                   | Mr. Li Jiaqing   | Email:              | sales@gzyifeng.com                |  |  |  |  |  |  |  |  |  |  |
| (including email)                          | Technical:                    | Mr. Yu Zhiyun  | Email:              | уzу0728@163. сот                  |  |  |  |  |  |  |  |  |  |  |
|  | Design:                       | Nil  | Email:              | N/A                               |  |  |  |  |  |  |  |  |  |  |
|  | Audit Body:                   | BV CPS   | Auditor Name:       | Rainbow Peng                      |  |  |  |  |  |  |  |  |  |  |
| Audit Details:                             | Audit Date:                   | 28-Nov-2017  | Audit Report No:    | 10172991321                       |  |  |  |  |  |  |  |  |  |  |
|  | Report Reviewer:              | Sam Jiang  | Site Representative | Ms. Zhang Shuping/Quality Manager |  |  |  |  |  |  |  |  |  |  |

Auditor comments:

Products produced at site: Printing paper products, such as greeting cards, packaging bags & boxes, books, etc.

Key Raw-materials used: Paper, ink. Major Market: America, Europe.

Major Customers: Sainsbury's, Morrison, Anker, etc.

GPS coordinates (if auditor phone has capability): (113.255793, 23.397022) Description of any outsourced processes: No process was subcontracted.

#### Additional Comments (if any):

- 1. During this audit, all person were from the factory. Per factory tour and management interview, main processes were cutting, printing, silkscreen printing, hot stamping, die-cutting, envelop making, folding, binding, hand working and packing, etc.
- 2. The factory got ISO9001:2008 certification, which was certified by Intertek, certificate number: 110807058-01, expiry date: Sep. 14, 2018.

### Technical Assessment Report: Summary





| Audit Date:           | 28-Nov-2017                                 |                    |   |
|-----------------------|---|--------------------|---|
| Audit Body :          | BV CPS                                      | Auditor Name:      | Rainbow Peng                            |
| Report Reviewer Name: | Sam Jiang                                   |                    |   |
| Site Name:            | GUANGZHOU YIFENG COLOUR PRINTING & PACKAGE  | E CO., LTD. /QA ID | : V00004928 (Site code: SAN1)           |
| Site Address:         | NO. 2 INDUSTRIAL DISTRICT, HUASHAN TOWN, HU | UADU DISTRICT, GUA | NGZHOU CITY, GUANGDONG PROVINCE, CHINA. |
| Report Number:        | 10172991321                                 |                    |   |

Overall Percentage Scor

High Care

Cosmetics

CCP

89 %

| Pillar Scores    | QMS  | GMP | Product Control | Process Control |
|------------------|------|-----|-----------------|-----------------|
| Total Percentage | 100% | 73% | 98%             | 83%             |

0

0

0

Overall Modules Summary # of Criticals of Best Practice Scoring Section Section % score 89 0 Generic NA 0 Timber 0 Composite Board NA 0 0 NA 0 0 Metal NA 0 Glass 0 Upholstery NA 0 0 Textile NA 0 0 0 Plastic NA 0 NA Toy 0 0 NA Charcoal 0 0 Electrical NA 0 0

NA

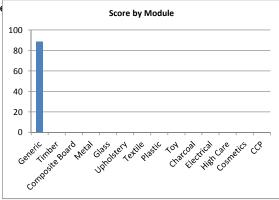
NA

NA

0

0

0

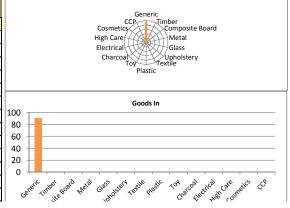


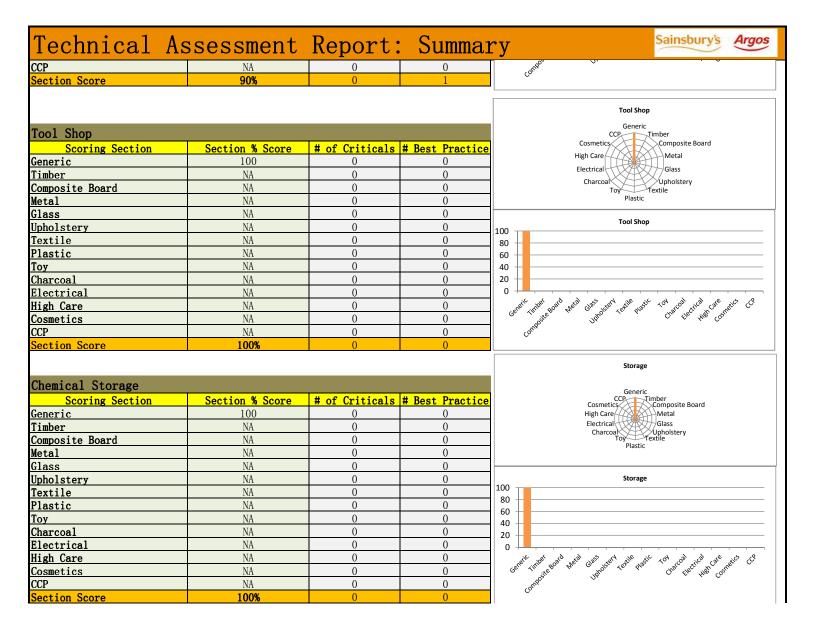
| OVERALL SCORE BY AREA |              |             |                 |  |  |  |  |  |  |  |  |
|-----------------------|--------------|-------------|-----------------|--|--|--|--|--|--|--|--|
| Factory Location      | Area % Score | # Criticals | # Best Practice |  |  |  |  |  |  |  |  |
| Goods In              | 90%          | 0           | 1               |  |  |  |  |  |  |  |  |
| Tool Shop             | 100%         | 0           | 0               |  |  |  |  |  |  |  |  |
| Chemical Storage      | 100%         | 0           | 0               |  |  |  |  |  |  |  |  |
| Production            | 92%          | 0           | 0               |  |  |  |  |  |  |  |  |
| Painting & Finishing  | 86%          | 0           | 0               |  |  |  |  |  |  |  |  |
| Packing               | 95%          | 0           | 0               |  |  |  |  |  |  |  |  |
| Final QC              | 99%          | 0           | 0               |  |  |  |  |  |  |  |  |
| Organisation          | 95%          | 0           | 1               |  |  |  |  |  |  |  |  |



Goods In

| Goods In        |                 |                |                 |
|-----------------|-----------------|----------------|-----------------|
| Scoring Section | Section % Score | # of Criticals | # Best Practice |
| Generic         | 90              | 0              | 1               |
| Timber          | NA              | 0              | 0               |
| Composite Board | NA              | 0              | 0               |
| Metal           | NA              | 0              | 0               |
| Glass           | NA              | 0              | 0               |
| Upholstery      | NA              | 0              | 0               |
| Textile         | NA              | 0              | 0               |
| Plastic         | NA              | 0              | 0               |
| Toy             | NA              | 0              | 0               |
| Charcoal        | NA              | 0              | 0               |
| Electrical      | NA              | 0              | 0               |
| High Care       | NA              | 0              | 0               |
| Cosmetics       | NA              | 0              | 0               |





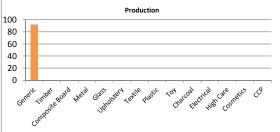
## Technical Assessment Report: Summary



Argos

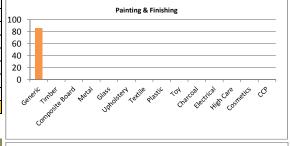
| Production      |                 |                |                 |
|-----------------|-----------------|----------------|-----------------|
| Scoring Section | Section % Score | # of Criticals | # Best Practice |
| Generic         | 92              | 0              | 0               |
| Timber          | NA              | 0              | 0               |
| Composite Board | NA              | 0              | 0               |
| Metal           | NA              | 0              | 0               |
| Glass           | NA              | 0              | 0               |
| Upholstery      | NA              | 0              | 0               |
| Textile         | NA              | 0              | 0               |
| Plastic         | NA              | 0              | 0               |
| Toy             | NA              | 0              | 0               |
| Charcoal        | NA              | 0              | 0               |
| Electrical      | NA              | 0              | 0               |
| High Care       | NA              | 0              | 0               |
| Cosmetics       | NA              | 0              | 0               |
| CCP             | NA              | 0              | 0               |
| Section Score   | 92%             | 0              | 0               |





| Painting & Finishing |                 |                |                 |
|----------------------|-----------------|----------------|-----------------|
| Scoring Section      | Section % Score | # of Criticals | # Best Practice |
| Generic              | 86              | 0              | 0               |
| Timber               | NA              | 0              | 0               |
| Composite Board      | NA              | 0              | 0               |
| Metal                | NA              | 0              | 0               |
| Glass                | NA              | 0              | 0               |
| Upholstery           | NA              | 0              | 0               |
| Textile              | NA              | 0              | 0               |
| Plastic              | NA              | 0              | 0               |
| Toy                  | NA              | 0              | 0               |
| Charcoal             | NA              | 0              | 0               |
| Electrical           | NA              | 0              | 0               |
| High Care            | NA              | 0              | 0               |
| Cosmetics            | NA              | 0              | 0               |
| CCP                  | NA              | 0              | 0               |
| Section Score        | 86%             | 0              | 0               |

| Generic CCP Timber Cosmetic Composite Board High Care Electrical Charcoal Toy Plastic Plastic | Painting & Finishing  |
|---|---|
|   | CCB Timber Cosmetics Composite Board High Care Metal Electrical Glass Charcoal Upholstery |

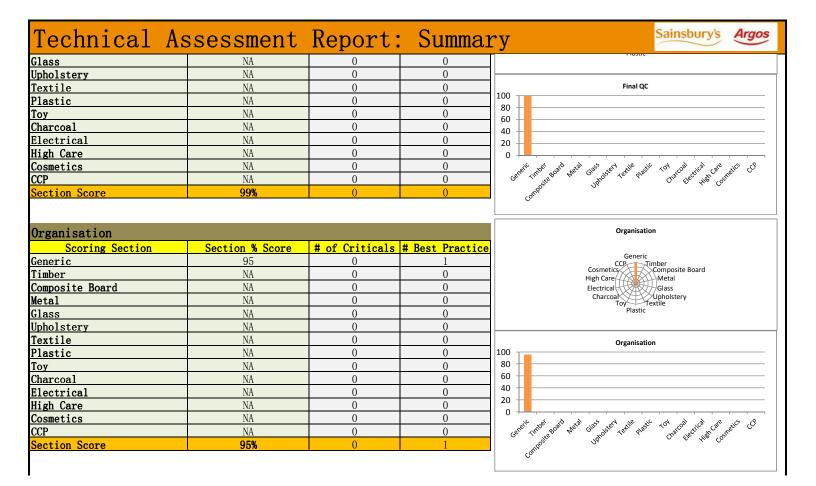


| Packing         |                 |                |                 |
|-----------------|-----------------|----------------|-----------------|
| Scoring Section | Section % Score | # of Criticals | # Best Practice |
| Generic         | 95              | 0              | 0               |
| Timber          | NA              | 0              | 0               |
| Composite Board | NA              | 0              | 0               |
| Metal           | NA              | 0              | 0               |
| Glass           | NA              | 0              | 0               |
| Upholstery      | NA              | 0              | 0               |
| Textile         | NA              | 0              | 0               |
| Plastic         | NA              | 0              | 0               |
| Toy             | NA              | 0              | 0               |
| Charcoal        | NA              | 0              | 0               |
| Electrical      | NA              | 0              | 0               |
| High Care       | NA              | 0              | 0               |
| Cosmetics       | NA              | 0              | 0               |
| CCP             | NA              | 0              | 0               |
| Section Score   | 95%             | 0              | 0               |

|   | Packing   |
|---|---|
|   | Generic   |
|   | CCP Timber Cosmetics Composite Board High Care Electrical Glass Charcoal Upholstery Toy Textile |
|   | Packing   |
|   | 80  |
|   | 60  |
|   | 40  |
|   | 20  |
|   | 0   |
| ì | 8 8 8 8 6 6 8 30 90 8   |

| Final QC        |                 |                |                 |
|-----------------|-----------------|----------------|-----------------|
| Scoring Section | Section % Score | # of Criticals | # Best Practice |
| Generic         | 99              | 0              | 0               |
| Timber          | NA              | 0              | 0               |
| Composite Board | NA              | 0              | 0               |
| Metal           | NA              | 0              | 0               |





| Techn         | ical As  |                        | Report: Corrective Action 1  | Plar                    | ı (F        | ind                 | ing                     | s)                      | Sainsb                  | oury's A                | Irgos            |                         | Tec        | hnical Assess          | ment Report: C                        | orrec                  | tive | Action Plan  | (Resolutio                      | n)                     | Sains                        | sbury's Argos               |
|---------------|--|------------------------|--|-------------------------|-------------|---------------------|-------------------------|-------------------------|-------------------------|-------------------------|------------------|-------------------------|------------|------------------------|---------------------------------------|------------------------|------|--|---------------------------------|------------------------|------------------------------|-----------------------------|
| udit Date:    | 28-Nov-2017  | Site Name:             | GUANGZHOU YIFENG COLOUR PRINTING & PACKAGE CO., LTD. /QA ID: V00004928 (Site code: SAN1)   | Site Co                 | mments i    | if any or           | n the aud               | it/findi                | ngs:                    |                         |                  |                         |            | Audit Date:            | 28-Nov-2017                           |                        |      | Site Name:   | GUANGZHOU YIFENG COLOUR PR      | INTING & PACKAGE CO.   | . LTD. /QA                   | ID: V00004928 (Sit          |
| eport No.:    | 10172991321  |                        | NO.2 INDUSTRIAL DISTRICT, HUASHAN TOWN, HUADU DISTRICT, GUANGZHOU CITY, GUANGDONG PROVINCE, CHINA.   | Ni1                     |             |                     |                         |                         |                         |                         |                  |                         |            | Report No. :           | 10172991321                           |                        |      | Site Address:  | NO. 2 INDUSTRIAL DISTRICT,      | HUASHAN TOWN, HUADU    | DISTRICT, G                  | UANGZHOU CITY, GUA          |
| uditor Name:  | Rainbow Peng                                       | Site Representative Na | Ms. Zhang Shuping/Quality Manager  |                         |             |                     |                         |                         |                         |                         |                  |                         |            | Auditor Name:          | Rainbow Peng                          |                        |      | Site Representative Name:  | Ms. Zhang Shuping/Quality       | Manager                |                              |                             |
| udit Body:    | BV CPS   |                        | Site Representative to sign here to confirm the issues have been discussed and understood:   |                         |             |                     |                         |                         |                         |                         |                  |                         |            | Audit Body:            | BV CPS                                |                        |      | Audit Body CAP review process                                    |                                 |                        |                              |                             |
| eport Reviewe | Sam Jiang  | Signature:             |  |                         |             |                     |                         |                         |                         |                         |                  |                         |            | Report Reviewer:       | Sam Jiang                             |                        |      | reviewer:  |                                 |                        |                              |                             |
|               |  |                        | completed by Auditor On Site   |                         |             |                     |                         |                         |                         |                         |                  |                         |            |                        | within 10 working days from Audit Rep |                        |      | To be Completed by Audit Body CAF<br>5 working days from the rec |                                 | / On-Site CAP V        | alidation &                  | Closure Services            |
| Clause No.    | Clause<br>Requirement                              | Review Process         | 4<br>Finding   | 5 Goods-In              | 6 Tool Shop | Chemical<br>Storage | ∞ Production            | Painting &<br>Finishing | Packing                 | Final QC                | 12 Organisation  | 23 Criticality          | Clause No. | Corrective Action Plan | 16 Preventive Action Plan             | Responsible<br>Persons |      | Agreement with site or Comments for Revision                     | 0bjective Evidences<br>Required | Objective<br>Evidences | CAP<br>Validation<br>Results | Remarks and NC closure date |
| 1.1           | Generic -<br>Conditions and<br>infrastructure      | Desktop                | Goods-In: Some accessories were directly stored on floor in accessories storage area.  Production & packing: Mould mark was observed on the wall in hand working & packing area.   | Improvement<br>Required | Ac ceptable | Ac ceptable         | Improvement<br>Required | Acceptable              | Improvement<br>Required | Ac ceptable             | Y/N              | Improvement<br>Required | 1.1        |                        |                                       |                        |      |  |                                 |                        |                              |                             |
| 1.3           | Generic -<br>Lighting                              | Desktop                | Scoderin & Final QC: Lighting was imadequate in incoming & final inspection<br>area (119 hum).<br>Painting & finishing: Lighting was imadequate in allkscreen printing area (79<br>hum).<br>For reference, audit requirement: 00 has at least in storage area, 300 has<br>at least in production area, and 600 has at least in inspection area.    | Improvement<br>Required | Acceptable  | Acceptable          | Acceptable              | Improvement<br>Required | Acceptable              | Improvement<br>Required | Y/N              | Improvement temporary   | 1.3        |                        |                                       |                        |      |  |                                 |                        |                              |                             |
| 1. 7          | Generic -<br>Equipment /<br>Machine<br>Maintenance | Desktop                | Production/ Painting & Finishing: Machine maintenance procedure was<br>established, and maintenance records were maintained, however, the weekly<br>maintenance requirements were defined in the maintenance records, but all<br>suspice machine maintenance records shown that the factory did not conduct the<br>weekly maintenance accordingly. | Acceptable              | Acceptable  | Acceptable          | Improvement<br>Required | Improvement<br>Required | Acceptable              | Acceptable              | Y/N              | Improvement<br>Required | 1.7        |                        |                                       |                        |      |  |                                 |                        |                              |                             |
| 1.24          | Generic - Process<br>Control                       | Desktop                | Painting & Finishing: Parameters of silkscreen printing process (temperature, speed) were defined in the work instruction, but no records indicated that these parameters were monitored during production.  | Y/N                     | N/N         | N/N                 | Acceptable              | Improvement             | Acceptable              | Acceptable              | Y/N              | Improvement<br>Required | 1.24       |                        |                                       |                        |      |  |                                 |                        |                              |                             |
| 1.26          | Generic -<br>Reference Samples                     | Desktop                | Painting & Finishing: The factory used first article inspection samples as<br>reference samples, but no first article samples were available in silkscreen<br>printing area during this audit. For reference, first article inspection<br>records were kept.   | N/A                     | N/N         | N/N                 | Acceptable              | Improvement<br>Required | N/A                     | N/N                     | N/A              | Improvement<br>Required | 1.26       |                        |                                       |                        |      |  |                                 |                        |                              |                             |
| 1.27          | Generic -<br>Chemical Storage                      | Desktop                | Painting & finishing: Per factory tour, it was found that several oil containers used in printing area were not marked.  | N/A                     | N/N         | N/N                 | Acceptable              | Non<br>Compliance       | Acceptable              | N/N                     | N/N              | Minor                   | 1.27       |                        |                                       |                        |      |  |                                 |                        |                              |                             |
| 1.48          | Generic - Health<br>& Personal<br>Hygiene          |                        | Organisation: Dedicated drinking areas were available in the factory, however,<br>per factory tour, it was found that drinking bottles were brought to working<br>areas in cutting area & silkscreen printing area.  |                         | N/N         | N/N                 | N/A                     | Y/N                     | N/A                     | N/N                     | Non<br>Couplianc | Minor                   | 1.48       |                        |                                       |                        |      |  |                                 |                        |                              |                             |

#### Technical Assessment Report: Best Practice Summary Sainsbury's Argos BV CPS Audit Date: 28-Nov-2017 Audit Body: Site Representative Name: Ms. Zhang Shuping/Quality Manager Site representative signature: Report No.: 10172991321 Auditor Name: Rainbow Peng GUANGZHOU YIFENG COLOUR PRINTING & PACKAGE CO., LTD. Site Name: Reviewer Name: Sam Jiang /QA ID: V00004928 (Site code: SAN1) Site Address: NO. 2 INDUSTRIAL DISTRICT, HUASHAN TOWN, HUADU DISTRICT, GUANGZHOU CITY, GUANGDONG PROVINCE, CHINA. Production Packing Clause Requirement Comments Clause No. The incoming goods inspectors were independent from production. The factory conducted incoming inspection based on sampling plan: MIL-STD-N/A N/A N/N 1.5 Generic - Incoming Goods inspection N/N N/N N/A 105E, single, normal, level II, AQL: Critical/ 0, Major/ 1.5, Minor/ 2.5, relevant inspection records were kept. Product recall procedure was established, and the factory conducted mock N/A N/N N/A N/A N/A 1.40 Generic - Product Recall product recall once a year at least, relevant records were maintained.